

10/05/01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

*Attorney Docket No.:* 2001 1507A

*First Named Inventor* : Takashi TANAKA ET AL.

**Title: METHOD FOR SUPPLYING SLURRY TO POLISHING APPARATUS**

*Express Mail Label No.:*

## APPLICATION ELEMENTS

*See MPEP chapter 600 concerning utility patent application contents.*

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program <i>(Appendix)</i>	
2. <input type="checkbox"/> Small Entity Status is hereby asserted.	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	
3. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i>	<i>[Total Pages: 42]</i>	a. <input type="checkbox"/> Computer Readable Form
- Descriptive title of the Invention		b. <input type="checkbox"/> Specification Sequence Listing on:
- Cross References to Related Applications		i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
- Statement Regarding Fed sponsored R & D		ii. <input type="checkbox"/> Paper
- Reference to Sequence Listing, a table, or a computer program listing appendix.		c. <input type="checkbox"/> Statement verifying identity of above copies
- Background of the Invention		
- Brief Summary of the Invention		
- Brief Description of the Drawings <i>(if filed)</i>		
- Detailed Description		
- Claim(s)		
- Abstract of the Disclosure		
4. <input checked="" type="checkbox"/> Drawing(s) <i>(35 USC 113)</i>	<i>[Total Sheets: 7]</i>	
5. <input checked="" type="checkbox"/> Oath or Declaration	<i>[Total Pages : 3]</i>	
a.1. <input checked="" type="checkbox"/> Newly executed (original or copy)		9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
a.2. <input type="checkbox"/> Unexecuted		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i>
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>		<input type="checkbox"/> Power of Attorney
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>
6. <input type="checkbox"/> Application Data Sheet (see 37 CFR 1.76)		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449
		<input type="checkbox"/> Copies of IDS Citations
		13. <input type="checkbox"/> Preliminary Amendment
		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
		16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
		17. <input type="checkbox"/> Other

#### **ACCOMPANYING APPLICATION PARTS**

- 9.  Assignment Papers (cover sheet & document(s))
- 10.  37 CFR 3.73(b) Statement (*when there is an assignee*)
  - Power of Attorney
- 11.  English Translation Document (*if applicable*)
- 12.  Information Disclosure Statement (IDS)/PTO-1449
  - Copies of IDS Citations
- 13.  Preliminary Amendment
- 14.  Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
- 15.  Certified Copy of Priority Document(s)
  - (if foreign priority is claimed)*
- 16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
- 17.  Other

18. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:*

II Continuation      II Divisional      II Continuation-in-part (CIP)      of prior application No. \_\_\_\_\_

*Prior Application Information:* Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**



000513

**PATENT TRADEMARK OFFICE**

By:

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October 5, 2001

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Takashi TANAKA ET AL.

Serial No. New

Attn: APPLICATION BRANCH

Filed October 5, 2001

Attorney Docket No. 2001\_1507A

METHOD FOR SUPPLYING SLURRY  
TO POLISHING APPARATUS

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
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ACCOUNT NO. 23-0975

**PATENT OFFICE FEE TRANSMITTAL FORM**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$864.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$740.00

Assignment for Recordal ..... \$40.00

Additional Claims Fee:

Excess of Twenty ..... \$

Independent ..... \$84.00

Multiple Dependent Fee ..... \$

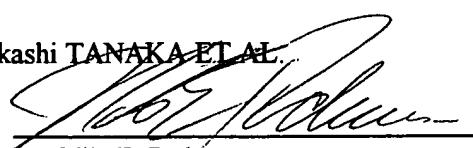
A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Takashi TANAKA ET AL.

By

  
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[Check No. 46841]  
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